

Please add new Claim 59.

- AS 59. A composition comprising two cytokines having synergistic neurotrophic activity, wherein the two cytokines are GDNF and TGF β , or functionally active derivatives or parts thereof.

REMARKS

Claims 1, 2, 5, 16, 57 and 58 have been amended to clarify that the composition has synergistic neurotrophic activity. Claim 1 has also been amended to further define and clarify the cytokines of the invention. Support for these amendments can be found throughout the Specification, for example, at page 3, lines 6-15; page 8, line 6 through page 9, line 5; page 9, lines 6-20; and Figures 6A-6D.

New Claim 59 has been added and is directed to a specific subcombination of cytokines recited in Claim 1. No new matter has been added.

Claim Rejections Under 35 U.S.C. § 102(a)

Claims 1, 2, 5, 57 and 58 have been rejected under 35 U.S.C. § 102(a) as being anticipated by Louis (WO 97/19694) for the reasons of record as applied to Claim 5 in section 8 of Paper No. 9.

The Examiner has stated that Louis *et al.* teach a composition having neurotrophic activity comprising GDNF and TGF- β . Furthermore, the Examiner has stated that "Louis uses composition of GDNF and TGF- β in D-PBS (phosphate buffered saline prepared with distilled water, see page 33, lines 20-30, fro [sic] example), which meets the limitations of claim 58."

Applicants respectfully disagree and maintain the traversal of this rejection as presented in Amendment A, submitted to the United States Patent and Trademark Office by Certificate of Mailing on March 13, 2002. However, in the interests of expediting the prosecution of this application, Applicants are concurrently submitting a Declaration Under 37 C.F.R. § 1.131 (the "Declaration"), which submits evidence of the Applicants' conception and reduction to practice of the invention before the date of the Louis *et al.* reference. The Declaration by the inventors

provides evidence that the claimed invention was conceived and reduced to practice with diligence before the publication date of the Louis reference (June, 1997). Specifically, the Declaration includes copies of laboratory notebook pages in which the claimed invention is described and experiments are recorded which detail the reduction to practice of the claimed invention. The present application is a continuation application of PCT/EP98/06004, filed September 21, 1998, which designated the U.S., which claims priority to European patent Application Number 97 116 373.8, filed September 19, 1997, and therefore has the effective filing date of September 19, 1997. In light of the Declaration, the Louis reference is not available as prior art. Withdrawal of the rejection is respectfully requested.

Claim Rejections Under 35 U.S.C. § 102(b)

Claims 1, 2, 5 and 57-58 have been rejected under 35 U.S.C. § 102(b) as being anticipated by Goulin *et al.* (*J. Neurosci. Res.*, Feb. 15, 1996).

The Examiner has stated that Goulin *et al.* teach a composition of GDNF and TGF- β 3 in combination with FGF-2 and that such a composition was proven to be neurotrophic for cultures of purified chicken motoneurons as compared to the composition of TGF- β 3 and FGF-2, and control. Applicants respectfully disagree.

Goulin *et al.* teach that the FGF-2 and TGF- β 3 were synergistic for chicken motoneurons, but that “GDNF and FGF-2 was **not significantly greater than that of GDNF alone**” (emphasis added) (page 461, first paragraph). Goulin *et al.* go on to teach that “[t]he addition of a combination of all three factors did not increase survival beyond that obtained with TGF- β 3 and FGF-2” (page 461, first paragraph). Thus, GDNF, TGF- β 3 and FGF-2 had no greater synergistic neurotrophic activity than TGF- β 3 and FGF-2 alone. The claims, as amended, recite a composition having synergistic neurotrophic activity, comprising at least two cytokines, and wherein if a first cytokine is TGF- β 3, then the second cytokine is not NT-3, NT-4 or FGF. Thus, Goulin *et al.* does not teach the claimed invention.

Furthermore, the Examiner has stated that the composition of GDNF and TGF- β 3 was used in serum-free culture medium, which is considered a “pharmaceutical diluent.” Applicants respectfully disagree.

Goulin *et al.* teach that the “[g]rowth factors to be tested were diluted in the appropriate volume of **complete basal medium**” (page 456, first paragraph). Complete basal medium is defined by Goulin *et al.* as being “L15 medium, supplemented with sodium bicarbonate (0.0625%, added direct from a 0.9% stock solution), glucose (20mM), insulin (5 μ g/ml), sodium selenite (30nM), conalbumin (0.1 mg/ml), progesterone (20 nM), putrescine (0.1 nM), penicillin (100 IU/ml), and streptomycin (100 μ g/ml).” (page 455, column 1-2, bridging paragraph). Complete basal medium, as defined by Goulin *et al.*, would not be considered by one of ordinary skill in the art as a pharmaceutical diluent. Withdrawal of the rejection is requested.

Claim Rejections Under 35 U.S.C. § 103(a)

Claims 1, 2, 5 and 57-58 have been rejected under 35 U.S.C. § 103(a) as being unpatentable over Goulin *et al.* (*J. Neurosci. Res.*, Feb. 15, 1996) in view of Paulsen *et al.* (*Neuron* 13: 1245-1252, 1994).

The Examiner has stated that the neurotrophic action of the two factors, GDNF and TGF- β , is well known and recognized in the art (Goulin *et al.*, and Paulsen *et al.*), and thus it would have been *prima facie* obvious for one of ordinary skill in the art to combine these two known neurotrophic factors to expect the composition to possess similar neurotrophic activity. Applicants respectfully disagree.

The claims, as amended, are directed to a composition having a **synergistic** neurotrophic activity. Paulsen *et al.* teach the **separate** neurotrophic activity of **individual** cytokines (see Abstract, for example). Furthermore, Paulsen *et al.* disclose that “TGF β 2, TGF β 3 and GDNF appear in **distinct** innervation targets, and it is possible that subpopulations of dopaminergic neurons acquire **selective responsiveness to the individual factors**” (page 1250, column 1, third paragraph). Neither Goulin *et al.* nor Paulsen *et al.* teach or suggest a composition comprising the claimed cytokines and the composition having synergistic neurotrophic activity. As discussed *supra*, Goulin *et al.* specifically teach that a composition comprising GDNF and FGF-2 was not synergistic, nor was a composition comprising GDNF, TGF β 3 and FGF-2 any greater in neurotrophic activity than TGF β 3 and FGF-2 alone. Since Goulin *et al.* and Paulsen *et al.* together do not teach or suggest, or motivate one of skill in the art to make the claimed invention,

a *prima facie* case of obviousness has not been established. Withdrawal of the rejection is requested.

Information Disclosure Statement

Applicants note that the Examiner returned an initialed PTO-Form 1449, submitted by the Applicants in a Supplemental Information Disclosure Statement, on March 13, 2002. However, the Examiner indicated that reference AT2 was not included. As originally filed, a copy of reference AT2 was included with the Supplemental Information Disclosure Statement, as evidenced by the postcard receipt, stamped by the USPTO, a copy of which is enclosed. For the Examiner's convenience, a courtesy copy of reference AT2 and a copy of original PTO-Form 1449 with reference AT2 cited are enclosed for the Examiner's review. Applicants request consideration of this reference and return of an Examiner-initialed PTO-Form 1449.

Since the error was made by the United States Patent and Trademark Office and not by Applicants or Applicants' attorney, it is believed that there is no fee due for consideration of IDS reference AT2. However, authorization is granted to charge any fees relating to this matter to Deposit Account 08-0380.

General Note

Applicants note that Claim 16 has been omitted from the list of pending Claims in the Office Action Summary and Detailed Office Action. Claims 1, 2, 5 and 16 were originally elected, with traverse, by the Applicants in their Reply to Restriction Requirement, dated July 9, 2001. Applicants have not cancelled Claim 16. Reconsideration and examination of all pending claims is respectfully requested.

CONCLUSION

In view of the above amendments and remarks, it is believed that all claims are in condition for allowance, and it is respectfully requested that the application be passed to issue. If the Examiner feels that a telephone conference would expedite prosecution of this case, the Examiner is invited to call the undersigned at (978) 341-0036.

Respectfully submitted,

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Dated: October 21, 2002

MARKED UP VERSION OF AMENDMENTSClaim Amendments Under 37 C.F.R. § 1.121(c)(1)(ii)

1. (Thrice Amended) A composition having synergistic neurotrophic activity, comprising at least two cytokines, or functionally active derivatives or parts thereof of said cytokines, wherein at least one of said cytokines is BMP, GDF, TGF- β or GDNF, and wherein if a first cytokine is TGF- β , then a second cytokine is not NT-3, [or] NT-4 or FGF.
2. (Thrice Amended) The composition having synergistic neurotrophic activity according to Claim 1, wherein the second cytokine is different from the first cytokine and is selected from the group consisting of GDF, GDNF, TGF, activin A, BMP, BDNF, NGF, NT, EGF, CNTF and FGF.
5. (Twice Amended) The composition having synergistic neurotrophic activity according to Claim 1, comprising GDNF and TGF- β .
16. (Twice Amended) The composition having synergistic neurotrophic activity of Claim 1 further comprising a pharmaceutically acceptable carrier, diluent or any combination thereof.
57. (Amended) A composition having a synergistic neurotrophic activity, comprising GDNF and TGF- β , or functionally active derivatives or parts thereof.
58. (Amended) A composition having a synergistic neurotrophic activity, comprising GDNF and TGF- β , or functionally active derivatives or parts thereof, and further comprising a pharmaceutically acceptable carrier, diluent or any combination thereof.



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Initials: DMH/VJT/bsk Docket No.: 2896.1002-001 Date: March 13, 2002

This is to acknowledge receipt of the following documents each filed under Certificate of Mailing Procedure 37 C.F.R. 1.8:

- Amendment Fee Letter w/copy Pet Ext Time w/copy
 AMENDMENT A
 Marked Up Version of Amendments (i)
 SUPP. INFORMATION DISCLOSURE STATEMENT w/copy
 Form PTO-1449 pp 1 with references (AQ, AT2) as noted in 1449
 Check for \$ 742.00
 Other Declaration of Klaus Unsicker Under 37 C.F.R. § 1.151, Exhibits A-C

Applicants: Klaus Unsicker, et al.

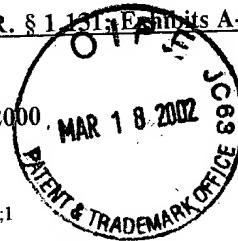
Application No.: 09/527,275

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
OC	AQ	WO 99/12560	18.03.99	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

OC	AT2	Kerstin Krieglstein, et al., "Glial Cell Line-Derived Neurotrophic Factor Requires Transforming Growth Factor-β for Exerting Its Full Neurotrophic Potential on Peripheral and CNS Neurons," <i>J Neurosci</i> , 18(23):9822-9834.

EXAMINER

Oliver Shaw

DATE CONSIDERED

01/10/03

Glial Cell Line-Derived Neurotrophic Factor Requires Transforming Growth Factor- β for Exerting Its Full Neurotrophic Potential on Peripheral and CNS Neurons

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Numerous studies have suggested that glial cell line-derived neurotrophic factor (GDNF) is a potent neurotrophic molecule. We show now on a variety of cultured neurons including peripheral autonomic, sensory, and CNS dopaminergic neurons that GDNF is not trophically active unless supplemented with TGF- β . Immunoneutralization of endogenous TGF- β provided by serum or TGF- β -secreting cells, as e.g., neurons, in culture abolishes the neurotrophic effect of GDNF. The dose-response relationship required for the synergistic effect of GDNF and TGF- β identifies 60 pg/ml of either factor combined with 2 ng/ml of the other factor as the EC₅₀. GDNF/TGF- β signaling employs activation of phosphatidylinositol-3 (PI-3) kinase as an intermediate step as shown by the effect of the specific PI-3 kinase inhibitor wortmannin. The synergistic action of GDNF and TGF- β involves protection of glycosylphosphatidylinositol (GPI)-linked receptors as shown by the restoration of their trophic effects after phosphatidylinositol-specific phospho-

lipase C-mediated hydrolysis of GPI-anchored GDNF family receptor α . The biological significance of the trophic synergism of GDNF and TGF- β is underscored by colocalization of the receptors for TGF- β and GDNF on all investigated GDNF-responsive neuron populations *in vivo*. Moreover, the *in vivo* relevance of the TGF- β /GDNF synergism is highlighted by the co-storage of TGF- β and GDNF in secretory vesicles of a model neuron, the chromaffin cell, and their activity-dependent release. Our results broaden the definition of a neurotrophic factor by incorporating the possibility that two factors that lack a neurotrophic activity when acting separately become neurotrophic when acting in concert. Moreover, our data may have a substantial impact on the treatment of neurodegenerative diseases.

Key words: motoneurons; dopaminergic neurons; neurotrophic factors; exocytosis; chromaffin cells; neurodegenerative disease; signal transduction

The discovery of glial cell line-derived neurotrophic factor (GDNF) as a neurotrophic factor for midbrain dopaminergic neurons was a hallmark in the search for novel molecules that may have relevance in the treatment of neurodegenerative diseases, as e.g., Parkinson's disease (PD; Lin et al., 1993). The significance of GDNF is underscored by its efficacy in several animal models of PD, including nonhuman primates (Hoffer et al., 1994; Beck et al., 1995; Tomac et al., 1995a; Sauer et al., 1995; Gash et al., 1996), ubiquitous expression in neurons of the CNS (Pochon et al., 1997), and its widening spectrum of responsive neuron populations (Henderson et al., 1994; Arenas et al., 1995; Buj-Bello et al., 1995; Trupp et al., 1995; Farkas et al., 1997). GDNF signals via the tyrosine kinase receptor c-Ret (Durbec et al., 1996; Trupp et al., 1996) in cooperativity with a glycosylphosphatidylinositol (GPI)-linked α receptor, the GDNF family receptor α (GFR α) (Jing et al., 1996; Treanor et al., 1996). GDNF is a member of the TGF- β superfamily (Kingsley, 1994), its

closest relative being neurturin (Kotzbauer et al., 1996). Targeted mutations of the GDNF (Moore et al., 1996; Pichel et al., 1996; Sanchez et al., 1996) or c-Ret genes (Schuchardt et al., 1994) have indicated that GDNF is essentially required for the development of the kidney, major portions of the enteric nervous system, and the sympathetic superior cervical ganglion.

TGF- β s are widely distributed and contextually acting cytokines with prominent roles in development and cell cycle control (Roberts and Sporn, 1990; Nathan and Sporn, 1991; Alexandrow and Moses, 1995; Kriegstein et al., 1995a). TGF- β s have been implicated in the regulation of neuronal survival of, e.g., motoneurons (Martinou et al., 1990), sensory neurons (Chalazonitis et al., 1992), and midbrain dopaminergic neurons (Kriegstein and Unsicker, 1994; Poulsen et al., 1994). It should be noted, however, that TGF- β shows no or marginal effects on highly enriched, serum-free neuron cultures, as e.g., sensory neurons (Kriegstein and Unsicker, 1996b), suggesting that TGF- β may require cooperating factors for eliciting its trophic effects.

Several lines of evidence suggest that GDNF similarly may require cofactors for acting as a neurotrophic factor. First, GDNF does not support the survival of most peripheral neurons in low-density dissociated cultures and defined media (Henderson et al., 1994; Kriegstein and Unsicker, 1996a). Second, follow-up experiments in which GDNF was shown to promote the survival of enriched peripheral autonomic and sensory neurons (Buj-Bello et al., 1995; Trupp et al., 1995) were all performed using serum throughout the whole culture period. Third, the dopaminotropic

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effect of GDNF was established in an extremely complex culture system (Lin et al., 1993) in which its most prominent effect did not become apparent until day 7 in culture.

The present study identifies TGF- β as the cotrophic factor required to permit neurotrophic effects of GDNF.

MATERIALS AND METHODS

Cell cultures. Fertilized white Leghorn chicken eggs were incubated in a humidified egg chamber at 37.8°C. Rat embryos were obtained from Hanover-Wistar rats; the day of vaginal plug identification was considered embryonic day 0 (E0). Embryonic chick (E8, E10, and E12) ciliary, dorsal root, and sympathetic ganglia and embryonic (E14) rat dorsal root ganglia were dissected, freed from nerve roots and connective tissue, and collected in $\text{Ca}^{2+}/\text{Mg}^{2+}$ -free HBSS (CMF; Sigma, St. Louis, MO). Ganglia were trypsinized, washed in CMF, and triturated as described (Lachmund et al., 1994). Single cell suspensions were seeded in poly-L-ornithine (PORN), laminin-coated microtiter plates (Costar, Cambridge, MA; A/2) at a density of 1200 cells per well in DMEM supplemented with N1 additives (transferrin, 6.25×10^{-8} M; putrescine, 1×10^{-4} M; progesterone, 2×10^{-8} M; and selenium, 3×10^{-8} M; Sigma; Bottenstein et al., 1980), 0.25% BSA (Sigma), 100 U/ml penicillin, 0.50 $\mu\text{g}/\text{ml}$ streptomycin, 100 $\mu\text{g}/\text{ml}$ neomycin (PSN) (Life Technologies), and incubated at 37°C in a 5% CO₂ and 95% air atmosphere (Lachmund et al., 1994).

Mesencephalic cell cultures from embryonic rat midbrain floor (E14) were essentially established as described by Kriegstein et al. (1995b). They were seeded at a density of 50,000 per cm², cultured under serum-free conditions, and processed for immunocytochemistry on day 5 *in vitro*. Dopaminergic neurons were visualized using a monoclonal antibody to rat tyrosine hydroxylase (1:200, Boehringer Mannheim, Mannheim, Germany) as described previously (Kriegstein et al., 1995b).

Embryonic rat motoneurons (Hanover-Wistar rats, E14) were prepared as described by Camu and Henderson (1992) using a two-step purification method: a density centrifugation using a metrizamide gradient followed by a panning procedure using the monoclonal antibody MC-192 that recognizes the low-affinity NGF receptor. The purified motoneurons were seeded in 24-well plates (Nunc, Darmstadt, Germany; 1000 per well) coated with PORN/laminin. After 15 hr all phase-bright cells without vacuolar inclusions were counted to establish the 100% value, and the neurotrophic factors were added. On day 4 in culture the percentage of surviving motoneurons was established by a final counting.

Neurons were grown with or without different recombinant neurotrophic factors [NGF, Boehringer Mannheim; CNTF, GDNF, FGF-2, and TGF- β 2, IC Chemikalien; TGF- β 1 and TGF- β 3, a gift from Dr. M.B. Sporn; bone morphogenetic proteins (BMPs) 2, 4, 6, 7, and 12, provided by Dr. V. Rosen, Genetics Institute; protein lysate from bovine chromaffin granules, Kriegstein and Unsicker, 1997], serum (horse serum, Life Technologies; fetal calf serum, PAA laboratories, EuroBio, PAN Systems), or neutralizing antibodies to TGF- β 1, TGF- β 2, and TGF- β 3 (10 $\mu\text{g}/\text{ml}$; Genzyme, Boston, MA; catalog #1835-01) or to GDNF (20 $\mu\text{g}/\text{ml}$; Santa Cruz Biotechnology, Santa Cruz, CA). Chick ciliary ganglia (CG) neurons were grown in the presence of wortmannin (Calbiochem, La Jolla, CA) or were incubated for 1 hr at 37°C with 100 mU phosphatidylinositol-specific phospholipase C (PIPLC) (Boehringer Mannheim) or 100 mU PIPLC plus 2 ng/ml TGF- β 1, or they were incubated without enzyme before the addition of growth factors. At appropriate times [CG, 24 hr; DRG, 48 hr, lumbar sympathetic ganglia (SG), 72 hr] cultures were fixed by addition of 2.5% glutaraldehyde in PBS. Numbers of surviving neurons were determined by direct counting of 30% of the surface area using phase contrast microscopy.

The isolation of bovine adrenal medullary chromaffin cells was performed by collagenase digestion and Percoll gradient centrifugation essentially as described by Unsicker et al. (1980). Chromaffin cells at 95% purity were seeded into 25 cm² plastic culture flasks (Falcon) at a density of 200,000 cells/cm² and grown in 5 ml DMEM/N1. After 30 hr the cultures were washed three times with medium and incubated for 15 min at 37°C in 2 ml prewarmed DMEM/N1 with or without of the following secretagogues: carbachol (100 μM ; Sigma), carbachol (100 μM) plus verapamil (10 μM ; Sigma), or the calcium ionophore A23187 (2 μM ; Sigma).

B49, COS cells, and NIH 3T3 fibroblasts were grown in 10% FCS–DMEM with PSN, and baby hamster kidney cells in DMEM-F12 with 5% FCS and PSN in plastic culture flasks (Falcon). Conditioned medium of confluent cultures was collected over a period of 48 hr. Determination

of TGF β activity was performed by using mink lung epithelial cells (MLEC) stably transfected with a luciferase reporter gene under the control of a truncated PAI-1 promotor (Abe et al., 1994; kindly provided by Dr. Rifkin, New York University) as described earlier (Kriegstein and Unsicker, 1995). Transfected MLEC cultures were plated in a 96-well microtiter plate (Costar) at a density of 1.6×10^4 cells per well in DMEM (high glucose) with 10% FCS and genetin (250 $\mu\text{g}/\text{ml}$) and allowed to attach for 3 hr at 37°C in a 5% CO₂ incubator. The medium was then replaced with 100 μl of test sample and incubated overnight at 37°C in a 5% CO₂ incubator. Cells were washed twice with PBS and lysed by using 100 μl of lysis buffer (Promega, Madison, WI) for 2–3 hr at RT. To determine TGF- β activity, 80 μl of the lysates were transferred to a test tube and analyzed using a Luminometer (EG&G Berthold, Bad Wildbad, Germany) by 100 μl injections of luciferase reagent (Berthold Detection Systems, Pforzheim, Germany). Luciferase activity was reported as relative light units (RLU), and all assays were performed in triplicate.

RT-PCR. RT-PCR was used to determine the expression pattern of TGF- β 2 and TGF- β 3 along with TBR-II, GFR α -1, and c-ret (receptor components involved in TGF- β or GDNF signaling) in postnatal day 0 (PO) ventral mesencephalon, dorsal root ganglia, and E14 purified motoneurons. Expression of TGF- β 1, TGF- β 2, TGF- β 3, and GDNF was also determined in dissociated purified bovine adrenal chromaffin cells. Total RNA was isolated by Trizol (Life Technologies) according to the manufacturer's instruction and were treated with RQ1 DNase (Promega) to exclude amplification of contaminating genomic DNA in subsequent manipulations. Total RNA was subjected to reverse transcription. Total RNA (1 $\mu\text{g}/\text{reaction}$) was added to a "master mix" (24 μl) containing final concentrations of 0.5× first strand buffer (Life Technologies), 0.66 U/ μl RNase inhibitor (MBI Fermentas), 10 mM dithiothreitol (DTT), 1.5 μM oligo-dT primer (Life Technologies), and 0.3 mM deoxyribonucleoside triphosphates (dNTPs) (Pharmacia, Freiburg, Germany). Sample mixtures were then heated to 65°C for 10 min. After cooling to 42°C, 200 U (5 μl) M-MLV reverse transcriptase (Life Technologies) and 5× first strand buffer to a final concentration of 1× were added. Reactions were incubated at 37°C for 60 min and were then stopped by incubation at 75°C for 10 min. After reverse transcription each cDNA sample was subjected to PCR amplification using specific primers as follows: TGF- β 1 forward 5'-AAGAACTGCTGTGTCGTCAGC-3' and reverse 5'-GAT-CATGTTGGACAATGCTCC-3'; TGF- β 2 forward (bovine) 5'-TGGATGCCGCTATTGCTTTAGG-3', forward (rat) 5'-CTACAGACTGGAG-TCCCAGC-3', and reverse (bovine, rat) 5'-CATGTTGGAAAAGTGTGTCGATC-3'; TGF- β 3 forward (bovine, rat) 5'-GGAAATCAAATTCAAAGGAGTGG-3' and reverse (bovine, rat) 5'-AGTTGGCATAGTAAC-CCTTAGG-3'; GDNF forward 5'-GGTCTACGGAGACCGGATCCG-AGGTG-3' and reverse 5'-TCTCTGGAGCCAGGGTCAGATACATC-3'; TBR-II forward 5'-GCTGCATATGCTCCTGTG-3' and reverse 5'-CGTGGTAGGTGAGCTTG-3'; GFR α -1 (Baloh et al., 1997) forward 5'-GCACAGCTACGGGATGCTCTCTG-3' and reverse 5'-CTAGTTGGGAGTCATGCTGCAACATC-3'; c-ret (Baloh et al., 1997) forward 5'-TGGCACACCTCTGCTCATG-3' and reverse 5'-TGTTC-CAGGAACGTGCTG-3'; and S6 forward 5'-CTCCAAGAAC-ATGATGTCC-3' and reverse 5'-TTTAGAAGTAGAAGCTCTCAG-3'. PCR reactions were performed in 0.2 ml thin-walled reaction tubes using the "hot-start" method in a Perkin-Elmer GeneAmp PCR system 9600. Reagents were assembled in a final volume of 50 μl , and final concentrations of reagents were as follows: 5 μl first strand cDNA, 1 μM forward primer, 1 μM reverse primer, 1× PCR buffer (Life Technologies), 1 mM MgCl₂, 100 mM dNTPs (Pharmacia) and RNase-free water to 50 μl . Samples were initially denatured at 94°C for 4 min and 1.25 U recombinant Taq DNA polymerase (Life Technologies) was then added. Thermocycling parameters were then 30 sec denaturation at 95°C, 30 sec annealing at 60°C, and 30 sec extension at 72°C repeated for 32 cycles with a final extension step at 72°C for 5 min. Aliquots (5 μl) of the PCR reaction were run on 0.2% agarose gels, and the size of the reaction products was determined after ethidium bromide staining. Identity of TGF- β reaction products (TGF- β 1, TGF- β 2, and TGF- β 3) was confirmed by Southern blotting and hybridization. Five microliters of each TGF β and S6 amplification products were run on 2% agarose gels and blotted to Hybond-N⁺ nylon membranes (Amersham, Braunschweig, Germany). Membranes were probed using internal oligonucleotide sequences (TGF- β 1 5'-TTGGGCTTGCAGAC-CCACGTAGT-3'; TGF- β 2 5'-TGGATGCCGCTATTGCTTTAGG-3'; TGF- β 3 5'-AGATGACCAGGCCGTTGGAGACC-3'; and S6 5'-GGAGGCTGCAAGATATGCTAACT-3') labeled with TdT (terminal deoxynucleotidyl transferase; AGS, Heidelberg, Germany) using ³²P-

gamma-ATP (DuPont NEN, Boston, MA). Signal intensities were analyzed by ImageQuant/PhosphorImager (Molecular Dynamics).

Immunohistochemistry, immunoprecipitation, and Western blotting. Immunohistochemistry was essentially performed as described previously (Kriegstein et al., 1996). White Leghorn chick embryos (E8) were fixed by immersion in ice-cold Bouin solution for 4 hr (Kriegstein et al., 1998). Pregnant Wistar rats were killed by CO₂ aspiration, and embryos (E21) were removed and fixed by transcardial perfusion with 4% paraformaldehyde buffered in PBS. The spinal cord of newborn (P0) Wistar rats was removed after transcardial perfusion with 10% neutral buffered formalin and post-fixed in Bouin solution. Tissue pieces were dehydrated in increasing concentrations of ethanol and embedded in paraffin wax. Sections (10 μ m) from E21 rat adrenal glands were deparaffinized and incubated for 30 min in 10% horse serum (HS), 1% BSA, and 0.1% Triton X-100. Sections were then exposed to either anti-chromogranin A antibody (rabbit polyclonal 1:200, Dakopatts, Copenhagen, Denmark; or mouse monoclonal 1:100, kindly provided by Dr. W. Huttner, University of Heidelberg) at 4°C overnight, followed by incubation with anti-GDNF antibody (rabbit polyclonal 1:200, Santa Cruz Biotechnology; or mouse monoclonal 1:100, R & D Systems, Minneapolis, MN). As a specificity control, consecutive rather than identical sections were incubated with just one primary antibody at a time and processed similarly. Specificity of the staining was verified by omitting the first antibody, by preabsorbing with an excess of antigen (rhGDNF, bovine chromaffin granule lysates containing chromogranin A), and by Western blotting of bovine chromaffin cell and granule lysates (see this study and Huttner et al., 1991; Bieger et al., 1995; Kriegstein et al., 1996). Cultured bovine chromaffin cells were processed similarly after a 10 min fixation using 4% paraformaldehyde buffered with PBS. Paraffin sections (5–10 μ m) from rat spinal cord (P0) or chicken DRG (E8) were rehydrated, and antigen unmasking was performed by microwave treatment in 10 mM citrate buffer. After blocking with serum, sections were immunostained for TGF- β 2, TGF- β 3, or T β R-II (TGF- β 2, sc-90; TGF- β 3, sc-82; and T β R-II, sc-400, Santa Cruz Biotechnology) using the isoform-specific antibodies at dilutions of 1:200–1:500. The immunostaining was visualized using the streptavidin-Cy3 method as previously described (Kriegstein et al., 1996). Experimental controls were performed by replacing the primary antibody with normal rabbit serum, preincubation with the corresponding antigen, and by immunoprecipitation (data not shown, Unsicker et al., 1996; Kriegstein et al., 1998).

For immunoprecipitation of GDNF or TGF- β 1, samples were incubated with 10 μ l/ml of the appropriate agarose-conjugated antibody (polyclonal rabbit anti-GDNF or anti-TGF- β 1, Santa Cruz Biotechnology) at 4°C overnight under mild agitation. Samples were washed with PBS and then resuspended in 50 μ l of electrophoresis sample buffer and boiled for 2 min. Samples were separated by electrophoresis on a 12.5% SDS-polyacrylamide gel and transferred to nitrocellulose membrane (Hybond; Amersham) as described earlier (Bieger et al., 1995). For dot blot analysis 2 μ l (40 ng) of each growth factor sample was loaded onto nitrocellulose membrane. The membranes were blocked with 3% low fat milk powder and 0.1% BSA in Tris-buffered saline (TBS), pH 7.3, incubated with primary antibody (1:200 in 0.1% BSA-TBS) overnight at 4°C followed by peroxidase conjugated anti-rabbit antibody (1:2000 in 0.1% BSA-TBS). Finally, the membrane was developed using the Amersham enhanced chemiluminescence (ECL) detection system.

RESULTS

The neurotrophic action of GDNF on several populations of peripheral and CNS neurons essentially requires TGF- β

GDNF has been proposed as a potent neurotrophic factor for a variety of cultured CNS and peripheral neurons. All of these cultures share significant cellular complexity and/or the use of serum resulting in uncontrolled trophic conditions. A well known constituent of almost every cell type as well as serum is TGF- β (Roberts and Sporn, 1990). Quantitative determinations of TGF- β (biological activity) in cultures of B49 (from which GDNF was originally isolated), BHK, COS cells, and 3T3 fibroblasts (frequently used for transfection experiments) revealed that these cells secrete within 48 hr 0.2 to 0.4 ng/ml TGF- β into their culture medium (data not shown; cf. Abe et al., 1994; Kriegstein and Unsicker, 1995). Different batches of fetal calf and horse sera

contain varying, but significant amounts of TGF- β (0.1–0.2 ng/ml in culture media with 10% serum; data not shown).

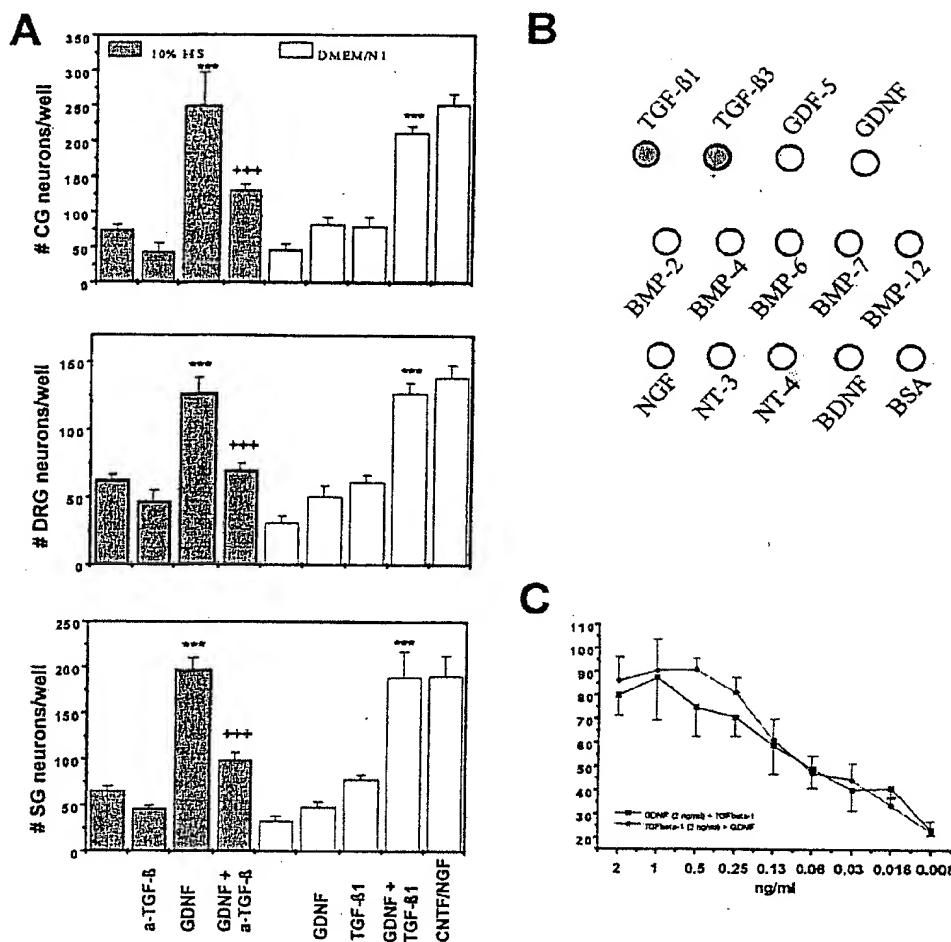
Using chick ciliary ganglionic neurons as an example, Figure 1*A* demonstrates that GDNF supplemented with 10% horse serum maintains neurons over a 24 hr period as effectively as a saturating concentration of CNTF (5 ng/ml). However, administering culture medium that had been preincubated with neutralizing antibodies to TGF- β (10 μ g/ml, known to neutralize >95% of 1 ng/ml of TGF- β isoforms TGF- β 1, TGF- β 2, and TGF- β 3; for specificity of the antibody, see Fig. 1*B*) significantly reduced the effect of GDNF, suggesting that GDNF requires TGF- β for displaying its trophic effect. Consistent with this notion, if a serum-containing culture medium was replaced with a fully defined culture medium, both GDNF and TGF- β alone (each at the saturating amount of 2 ng/ml) showed only marginal survival-promoting effects. However, when combined, the two factors promoted neurons as effectively as CNTF. To determine dose-response relationships required for the synergistic effect of GDNF and TGF- β , each single factor at a concentration of 2 ng/ml was titrated in combination with serial dilutions of the other one. As shown in Figure 1*C*, 60 pg/ml of either factor combined with 2 ng/ml of the other factor represented the EC₅₀. The combination of 0.25 ng/ml and 2 ng/ml already elicited saturating effects. All isoforms of TGF- β (TGF- β 1, TGF- β 2, and TGF- β 3) were consistently equipotent under the conditions used (data not shown). TGF- β could not be replaced by BMP2, 4, 7, or 12 (data not shown). We conclude that the combination of purified recombinant TGF- β and GDNF promotes ciliary ganglionic neuron survival to the same extent as CNTF, whereas each single factor is virtually ineffective. The combinatorial effect is specific in that TGF- β cannot be replaced by other members of the TGF- β superfamily.

To investigate whether the synergistic effect of GDNF and TGF- β also applied to other populations of peripheral neurons, identical experiments were performed using chick sensory (DRG) and paravertebral sympathetic neurons isolated from E8 embryos. As shown in Figure 1, *D* and *E*, GDNF and TGF- β , when coadministered to serum-free cultures, maintained sensory and sympathetic neurons, respectively, as supported by a saturating concentration of NGF (5 ng/ml). Again, 10% horse serum substituted for TGF- β .

To exclude that the above effects are restricted to a brief developmental time window, the same set of experiments was performed on ciliary, sensory DRG and sympathetic neurons from E10 and E12 chick embryos. Figure 2*A,B* shows that coadministration of GDNF and TGF- β mimicked the survival-promoting effect of CNTF or NGF, respectively, at all ages and on all neuron populations studied.

To establish that neurons from chick as well as mammals responded in a similar manner to GDNF and TGF- β , lumbar DRG neurons from E14 rat embryos were subject to the same treatments as above. Figure 3*A* shows that, in contrast to NGF, the GDNF-mediated survival-promoting effect in the presence of 10% horse serum was abolished by a saturating amount (10 μ g/ml) of neutralizing antibodies to TGF- β .

As GDNF was first characterized by its pronounced trophic effects on midbrain dopaminergic neurons, we asked whether this effect was dependent on or independent from TGF- β . As shown in Figure 3*B*, GDNF and TGF- β each promoted the survival of dopaminergic neurons under serum-free conditions approximately twofold as compared with untreated control cultures. Combinations of the factors further enhanced survival (threefold



of GDNF and TGF- β on chick ciliary neurons. Squares represent neuron survival achieved in the presence of a constant amount of GDNF (2 ng/ml) plus the indicated amounts of TGF- β 1. Circles represent neuron survival achieved in the presence of a constant amount of TGF- β 1 (2 ng/ml) plus the indicated amounts of GDNF.

as compared with controls). Addition of neutralizing antibodies to TGF- β (10 μ g/ml) abolished the trophic effect of GDNF.

Motoneurons have been the only neuron population studied so far that showed a convincing neurotrophic response to recombinant GDNF (cf. Henderson et al., 1994). Even so, as shown in Figure 3C, TGF- β significantly enhanced the trophic effect of GDNF. In contrast to dopaminergic neurons, however, antibodies to TGF- β (10 μ g/ml) failed to reduce survival in the absence of exogenous factors.

In summary, the above data indicate that TGF- β is required to have GDNF exert its full neurotrophic potential on both peripheral and CNS neurons.

TGF- β synergizes with GDNF by protecting GPI-linked receptors

To begin to characterize details of the specific signal transduction pathway used by GDNF to cooperate with TGF- β , we investigated whether activation of PI-3 kinase that has been shown as an early event in GDNF/c-ret-mediated signal transduction (van Weering and Bos, 1997) was involved. Figure 4A shows that the specific PI-3 kinase inhibitor wortmannin at a concentration of

0.25 μ M completely abolished the survival-promoting effect of GDNF in conjunction with TGF- β on cultured ciliary ganglionic neurons. Wortmannin did not interfere with the survival-promoting effect of CNTF, indicating that activation of PI-3 kinase is an essential event in mediating the survival-promoting effect of GDNF/TGF- β , but not that of CNTF. Furthermore, this result indicates that wortmannin did not unspecifically compromise survival.

We next asked whether TGF- β might be involved in the stabilization and recruitment of the GPI-linked GFR α . PIPLC at a concentration of 0.1 U/ml was used to hydrolyze the GPI-anchored receptors on dissociated ciliary neurons before plating. This procedure effectively reduced the survival-promoting effect of CNTF (which utilizes the GPI-anchored GFR α ; Heller et al., 1995) without affecting the survival-promoting effect of FGF-2 (Fig. 4B). Hydrolysis of GPI-linked receptors significantly reduced the survival-promoting effect of GDNF in its combination with TGF- β . However, when the PIPLC pretreatment was conducted in the presence of TGF- β (2 ng/ml TGF- β 1) the survival-promoting effects of GDNF and CNTF were maintained (Fig. 4B). These data suggest an essential involvement of a GPI-linked

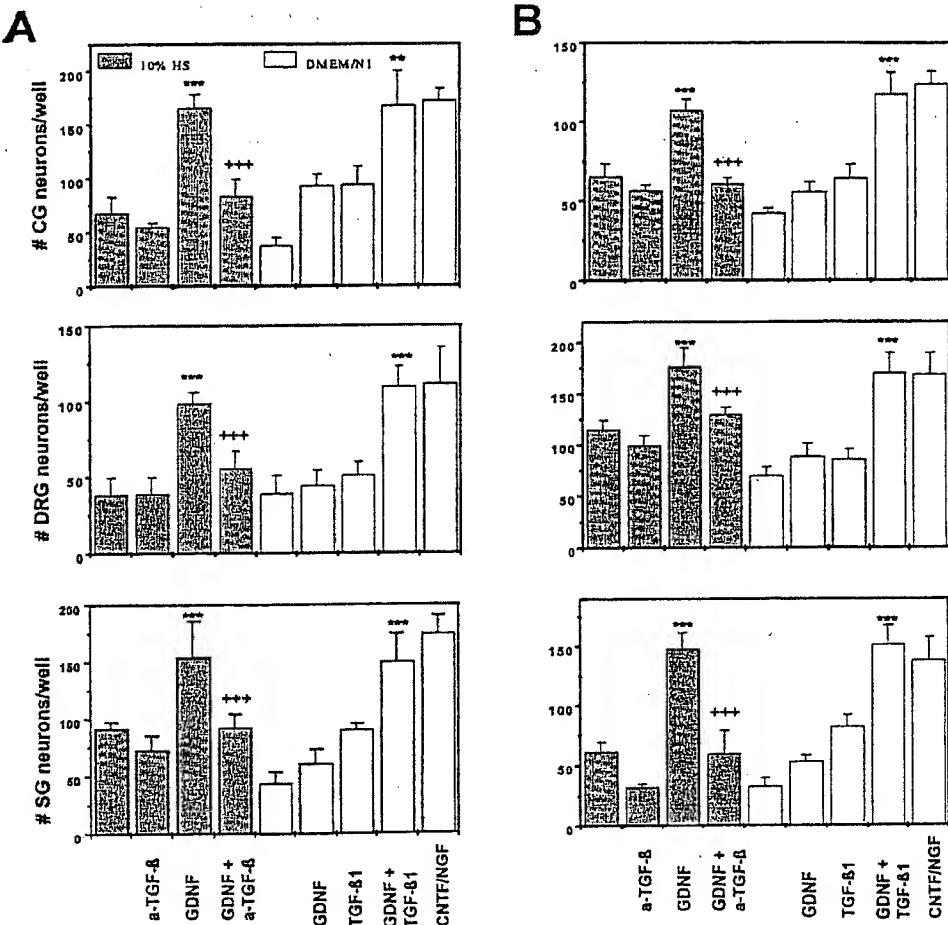


Figure 2. Assay as performed in Figure 1A using neurons from the respective ganglia of chick E10 (**A**) and E12 (**B**) embryos. Data indicate that the GDNF/TGF- β synergism also applies to neurons at more advanced stages of development. Data are given as mean \pm SEM ($n = 6$), p values derived from Student's t test are *** $p < 0.001$ for increased survival as compared with single factors and ++ $p < 0.001$ for decreased survival after antibody treatment.

receptor component in the neurotrophic effect of GDNF and a possible role of TGF- β in the stabilization and/or recruitment of the α receptor component.

Expression of TGF- β s and TGF- β receptor (T β R-II) in GDNF-responsive neuron populations *in vivo*

To investigate whether neuron populations known to be responsive to GDNF coexpress TGF- β receptor and its ligands *in vivo*, we studied expression of both mRNA and protein in select neurons of the CNS and peripheral nervous system. As shown in Figure 5 motoneurons purified from E14 rat spinal cord, DRG, and ventral mesencephalon from newborn rat expressed mRNA signals for TGF- β 2, TGF- β 3, and T β R-II along with the GDNF receptors GFR α 1 and c-ret. Figure 6 demonstrates the cellular localization of TGF- β 2, TGF- β 3, and T β R-II immunoreactivities in DRG (E8 chick) and spinal motoneurons (neonatal rat). These data are supplemented by previously published results compiled in Table 1, showing that the investigated neuron populations are well equipped with receptors for GDNF and TGF- β *in vivo*. Moreover, these neurons also express the ligand TGF- β , whereas GDNF is consistently found in neuronal target areas. Together,

these data make a compelling case for an *in vivo* scenario of GDNF and TGF- β cooperativity.

Co-release of GDNF and TGF- β from a model neuron, the chromaffin cell

To further investigate the biological significance of the cotrophic action of GDNF and TGF- β we studied their putative co-release. The best-established model system for studying secretion from a neuron is the chromaffin cell (Neher and Marty, 1982; Burgoyne et al., 1989; Huttner et al., 1991; Unsicker, 1993; Ferro-Novick and Jahn, 1994; Jahn and Südhoff, 1994). Chromaffin cells are known to store within their secretory granules ("chromaffin granules") and release after stimulation with a cholinergic agonist a neurotrophic activity, which has been shown to promote *in vitro* the survival of a number of neuron populations, including chick ciliary ganglionic neurons (Fig. 7; Unsicker and Lietzke, 1987; Lachmund et al., 1994; Unsicker and Kriegstein, 1996). Few protein growth factors have been identified in the past that can promote the survival of chick ciliary ganglionic neurons *in vitro* in the absence of serum. These are the ciliary neurotrophic factor (CNTF; Barbin et al., 1984), growth-promoting activity (GPA;

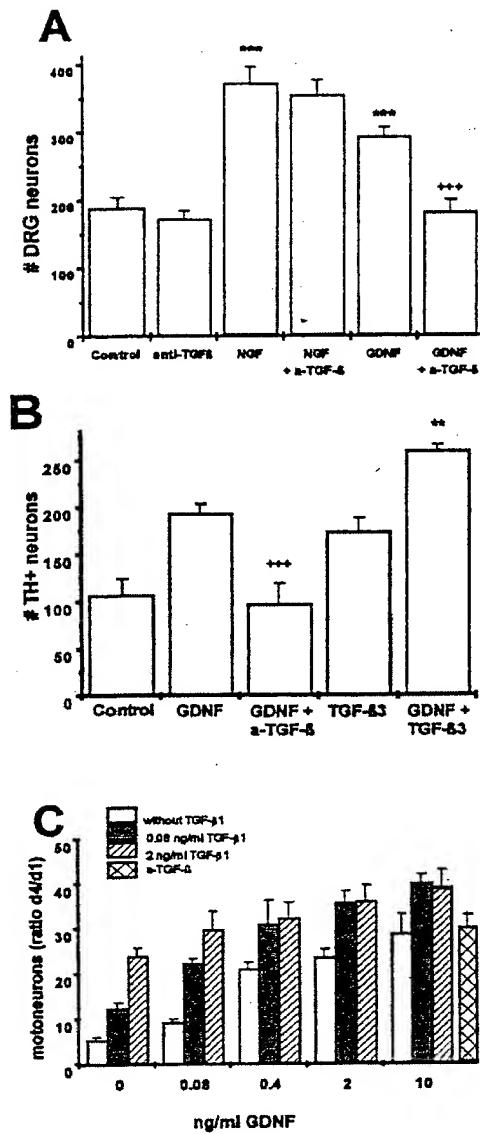


Figure 3. Survival-promoting effect of GDNF and TGF- β on rat DRG neurons (**A**), rat mesencephalic dopaminergic neurons (**B**), and rat motoneurons (**C**). **A**, Dissociated DRG (E14) cultures were treated with GDNF (10 ng/ml), NGF (10 ng/ml), anti-TGF- β antibodies (10 μ g/ml), or NGF or GDNF plus anti-TGF- β antibodies (10 μ g/ml) in the presence of 10% horse serum. Data are given as mean \pm SEM ($n = 6$), p values derived from Student's t test are *** $p < 0.001$ for increased survival as compared with untreated controls and ++ $p < 0.001$ for decreased survival after antibody treatment. **B**, Dissociated mesencephalic dopaminergic neurons (E14) were cultured at a density of 50,000 cells/cm² in serum-free medium and treated with GDNF (2 ng/ml), TGF- β 3 (2 ng/ml), GDNF plus TGF- β 3, or GDNF plus anti-TGF- β antibodies (10 μ g/ml). Data are given as mean \pm SEM ($n = 4$), p values derived from Student's t test are ++ $p < 0.001$ for decreased survival after antibody treatment. **C**, Dissociated purified motoneurons (E14) were cultured for 4 d using serum-free medium and treated with GDNF in the absence or presence, respectively, of different amounts of TGF- β 1 or anti-TGF- β antibodies (10 μ g/ml). Data are given as mean \pm SEM ($n = 4$) and expressed in percent of cells present at day 1.

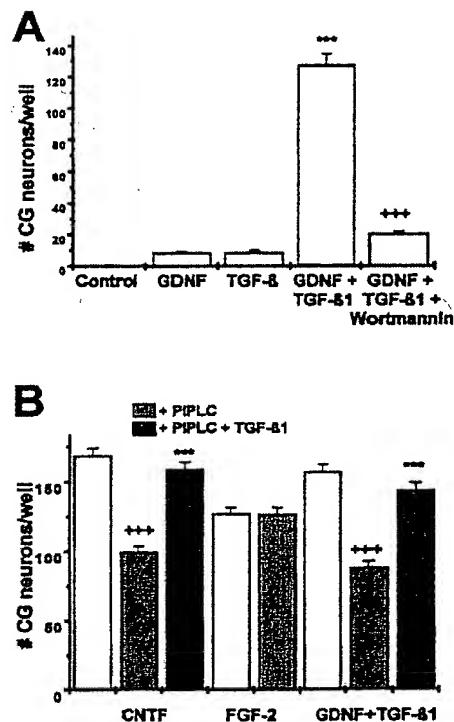


Figure 4. Mechanisms underlying the synergistic actions of GDNF and TGF- β . **A**, Chick ciliary ganglionic neurons (E8) were treated with GDNF (2 ng/ml), TGF- β 1 (2 ng/ml), GDNF plus TGF- β 1 (2 ng/ml each), and GDNF plus TGF- β 1 plus wortmannin (0.25 μ M), a specific inhibitor of PI-3 kinase. Wortmannin abolishes GDNF/TGF- β -mediated survival of ciliary ganglionic neurons, indicating that PI-3 kinase is an essential mediator in signal transduction of the combined action of GDNF and TGF- β . Data are given as mean \pm SEM ($n = 6$), p values derived from Student's t test are ++ $p < 0.001$ for decreased survival after wortmannin treatment. **B**, Chick ciliary ganglionic neurons were treated with CNTF (2 ng/ml), FGF-2 (10 ng/ml), and GDNF plus TGF- β 1 (2 ng/ml each; white bars) after pretreatment with PIPLC (100 mU, 1 hr), which liberates GPI-anchored cytokine receptors from the plasma membrane. PIPLC interferes, as expected, with the survival-promoting effect of CNTF but not that of FGF-2. Pretreatment of isolated ciliary neurons with PIPLC significantly reduces the survival-promoting effect of GDNF and TGF- β consistent with the essential role of a GPI-linked GFR α in GDNF signal transduction. Addition of both PIPLC and TGF- β to isolated ciliary neurons protects the GPI-linked α -receptors, suggesting that the synergistic neurotrophic action of GDNF and TGF- β may be caused by a protective action of TGF- β on the GFR α . Data are given as mean \pm SEM ($n = 6$), p values derived from Student's t test are ++ $p < 0.001$ for decreased survival after PIPLC treatment and *** $p < 0.001$ for increased survival in the presence of TGF- β .

Eckenstein et al., 1990), possibly the chick homolog of CNTF, and basic fibroblast growth factor (FGF-2; Unsicker et al., 1987). Although CNTF and FGF-2 are synthesized by chromaffin cells (Bieger et al., 1995; K. Krohn, personal communication), previous investigations and the present study reveal that both FGF-2 (Stachowiak et al., 1994; Bieger et al., 1995) and CNTF (see below) are excluded from chromaffin granules. This observation is consistent with the lack of a conventional signal peptide in the FGF-2 and CNTF molecules that would target these proteins to the rough endoplasmic reticulum and Golgi network for secretion. Moreover, chromaffin granules do not contain any detectable CNTF, as assayed using a highly sensitive CNTF-ELISA

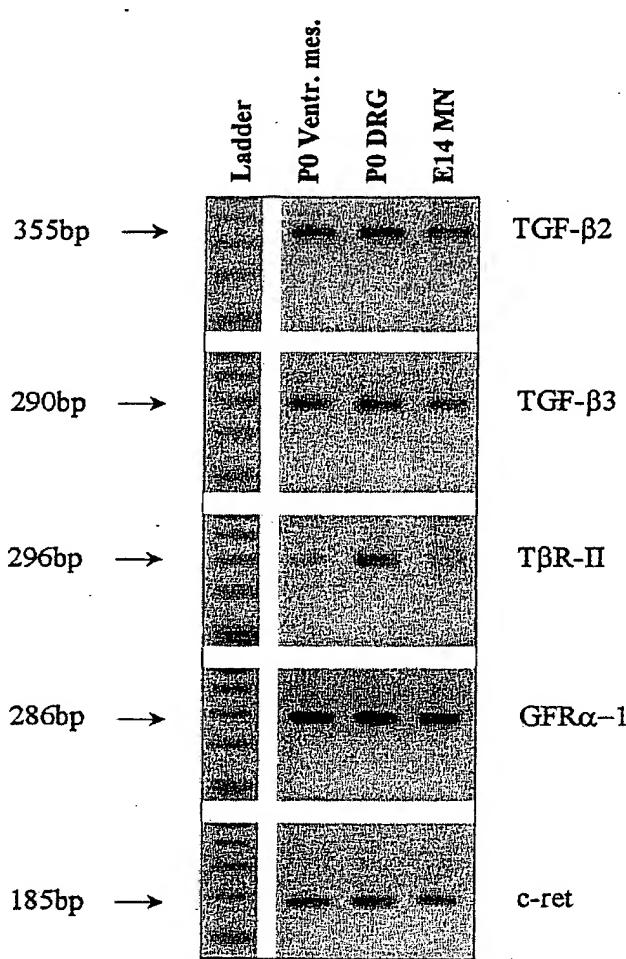


Figure 5. RT-PCR (30 cycles) of P0 rat tissues and E14 purified motoneurons with primers specific for TGF- β 2 (355 bp), TGF- β 3 (290 bp), T β R-II (296 bp), GFR α -1 (286 bp), and c-ret (185 bp). PCR products obtained from rat ventral mesencephalon, DRG and purified motoneurons, and a 100 bp ladder are shown. Arrows indicate the size of the PCR products.

technique with a detection limit of <0.3 ng/ml (Maysinger et al., 1996; data not shown).

Having shown that (1) chromaffin granule lysates promote ciliary ganglionic neuron survival, and (2) GDNF and TGF- β can mimic the neurotrophic effects of CNTF or FGF-2, respectively, on these neurons, we asked whether GDNF and TGF- β are synthesized, stored, and released from chromaffin cells. Figure 8 shows that transcripts for GDNF, TGF- β 1, TGF- β 2, and TGF- β 3 can be detected by RT-PCR in bovine chromaffin cells. We next asked whether GDNF was localized within chromaffin granules. Figure 9 shows immunolocalizations of the chromaffin granule-specific marker chromogranin A (Winkler and Fischer-Colbrie, 1992) and GDNF in rat adrenal chromaffin tissue (Fig. 9A) and cultured bovine chromaffin cells (Fig. 9B), suggesting that both immunoreactivities are colocalized in chromaffin granules. Western blotting confirmed that protein lysates from bovine chromaffin granules contained immunoreactive GDNF and TGF- β 1 at their correct sizes (Fig. 10). We next analyzed supernatants from

cultured bovine chromaffin cells for the release of immunoreactive GDNF and TGF- β using immunoprecipitation and Western blotting. As shown in Figure 10, stimulation with the cholinergic agonist carbachol (10^{-5} M, 15 min) induced release into the culture supernatant of immunoprecipitable TGF- β 1 as well as GDNF, suggesting their activity-dependent co-release from chromaffin granules. Stimulation with carbachol in the presence of the Ca^{2+} channel blocker verapamil reduced amounts of TGF- β 1 and GDNF in culture supernatants by ~50% as determined by densitometric evaluation of Western blots. Likewise, exposure of chromaffin cells to the calcium ionophore A 23187 resulted in the accumulation of TGF- β 1 and GDNF in culture supernatants. These data suggest a regulated activity-dependent release of both TGF- β 1 and GDNF from a neuronal secretory organelle, the chromaffin granule.

Having shown that GDNF and TGF- β are co-stored in and co-released from chromaffin granules, we tested whether neutralizing antibodies to either GDNF or TGF- β would block the trophic activity in chromaffin granule lysates for ciliary ganglionic neurons. Figure 7 provides evidence that both antibodies when applied separately significantly reduced the survival-promoting effect of chromaffin granule protein on cultured ciliary ganglionic neurons. Neutralization of both GDNF and TGF- β activity completely abolished the activity. These results suggest that GDNF and TGF- β are the active "ciliary" neurotrophic components stored in chromaffin granules. More importantly, they underscore the presence, availability, and potency of the neurotrophic activity resulting from the GDNF/TGF- β synergism.

DISCUSSION

The novel scenario of GDNF-mediated neurotrophic actions

The present study identifies TGF- β , which is widely expressed in the developing and adult nervous system (Flanders et al., 1991; Unsicker et al., 1991) as an essential component in GDNF-mediated neurotrophic actions. Initial studies with GDNF had suggested that the protein fails to elicit neurotrophic effects on most highly purified neuron populations *in vitro* (Henderson et al., 1994) but promotes neuron survival if administered to complex culture systems (Lin et al., 1993; Ebendal et al., 1995; Buj-Bello et al., 1995; Trupp et al., 1995). Our findings broaden the definition of a neurotrophic factor by incorporating the possibility that two factors that lack a neurotrophic activity when acting separately become neurotrophic when acting in concert. Our findings may also imply that activities of established neurotrophic factors might result, entirely or in part, from endogenously present cofactors. Previous studies have illustrated that the magnitude of effects elicited by neurotrophic factors may be substantially affected by endogenous factors (Kriegstein and Unsicker, 1996b).

The contextual actions of TGF- β

TGF- β is a member of a still growing superfamily of multifunctional cytokines with prominent roles in development, differentiation, and cell cycle control (for review, see Roberts and Sporn, 1990; Alexandrow and Moses, 1995; Hogan, 1996). Three isoforms, TGF- β 1, TGF- β 2, and TGF- β 3 have been identified in mammalian tissues. In the developing and unlesioned adult nervous system TGF- β 1 expression seems to be restricted to meninges and choroid plexus, whereas TGF- β 2 and TGF- β 3 are coexpressed in astroglial and Schwann cells as well as in many populations of central and peripheral neurons, especially in long-range projecting neurons (for review, see Kriegstein et al.,

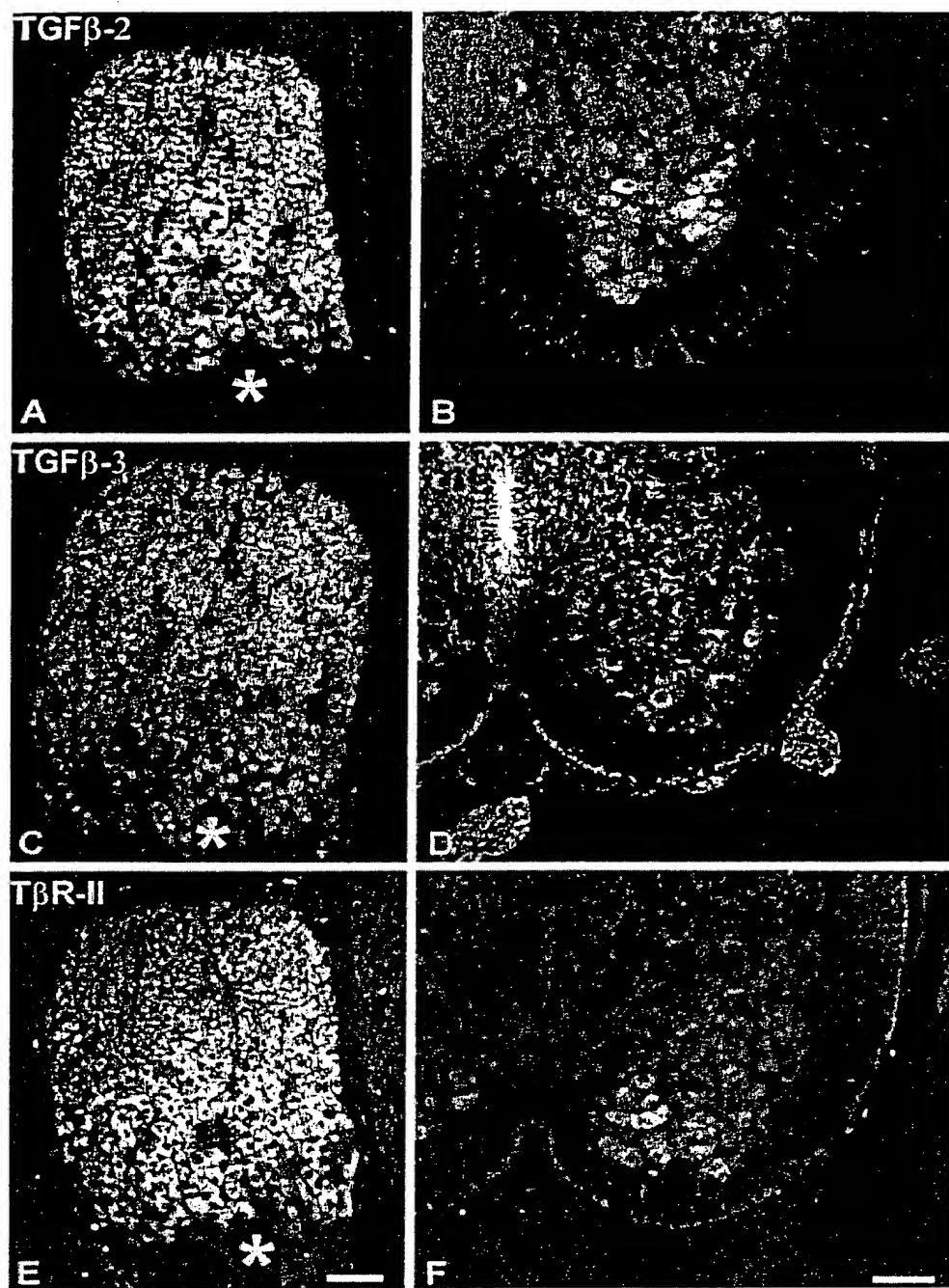


Figure 6. Immunohistochemistry showing localization of TGF- β 2 (*A*, *B*), TGF- β 3 (*C*, *D*), and T β R-II (*E*, *F*) in chicken DRG (*E8*; *A*, *C*, *E*) and in rat spinal cord motoneurons (E14; *B*, *D*, *F*). Note strong immunoreactivities in the cell bodies of DRG and motoneurons. Asterisks mark the entrance of dorsal root fibers into the ganglion. Scale bars, 50 μ m.

1995a). Current knowledge on functions of TGF- β in the nervous system is deduced from *in vitro* and *in vivo* lesioning studies. The available mouse mutants that are defective for either one of the three isoforms (Shull et al., 1992; Kulkarni et al., 1993; Kaartinen et al., 1995; Proetzel et al., 1995; Sanford et al., 1997) have failed to reveal overt deficits in neural structure and function, possibly

by virtue of compensatory effects of the remaining isoforms. In contrast, mouse embryos deficient for the TGF- β receptor type II (TR β II; Oshima et al., 1996) are lethal at E10.5, suggesting the general importance of TGF- β signaling in development. Functions of TGF- β on neural cells *in vitro* include regulation of extracellular matrix and proliferation of astroglial cells (Flanders

Table 1. Localization of TGF- β s and GDNF and their receptors

	Receptors			Ligands		
	T β R-II	cRet	GFR α -1	TGF- β 2	TGF- β 3	GDNF
Nigrostriatal system						
DA neurons	+t	+d,j,m,p,s	+m,p,s	+a,b	+a,b	+i,n,o
Striatum						+e,f,i,l
Motoneurons	+t,u	+c,d,h,m,p,s	+m,p,s	+a,b	+a,b	
Muscle						+e,f,i,l
DRG neurons	+t,u	+c,d,g,m,p,s	+m,p,s	+a,b	+a,b,k	
Skin						+e,i,l
SG (paravertebral)	+*	+g,p	+p	+a	+a,k	
Heart						+f
CG neurons	+r	?	?	+r	+r	+f,i
Eye						

a, Flanders et al., 1991; b, Unsicker et al., 1991; c, Pachnis et al., 1993; d, Avantaggiato et al., 1994; e, Henderson et al., 1994; f, Buj-Bello et al., 1995; g, Tsuzuki et al., 1995; h, Nakamura et al., 1996; i, Nosrat et al., 1996; j, Trupp et al., 1996; k, Unsicker et al., 1996; l, Wright and Snider, 1996; m, Baloh et al., 1997; n, Pochon et al., 1997; o, Trupp et al., 1997; p, Widenfalk et al., 1997; q, Bennett et al., 1998; r, Kriegstein et al., 1998; s, Yu et al., 1998; t, Figure 5, this study; u, Figure 6, this study; *, L. Farkas, personal communication; ?, not determined. Data were obtained from mouse (a,c,d,l,p), rat (b,e,g,i,j,m,n,o,q,s,t,u), or chicken (f,h,k,r,u) tissues. +, Positive signal detected.

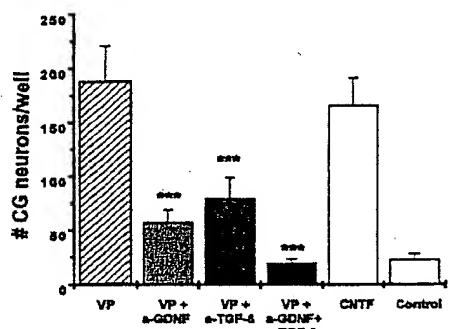


Figure 7. The soluble proteins of chromaffin granules (VP) promote the survival of chick ciliary ganglionic neurons at a level identical to that achieved with a saturating concentration of CNTF (10 ng/ml). Addition of neutralizing antibodies to either GDNF (20 μ g/ml) or the TGF- β s TGF- β 1, TGF- β 2, and TGF- β 3 (10 μ g/ml) significantly reduces the promoting effect of VP. Addition of both antibodies completely abolishes the neurotrophic effect of VP (0.5 mg/ml), suggesting that GDNF and TGF- β are the long-sought ciliary neurotrophic proteins contained in VP. Data are given as mean \pm SEM ($n = 6$), p values derived from Student's t test are *** $p < 0.001$ for decreased survival after antibody treatment.

et al., 1993). TGF- β can promote neuron survival *in vitro* when administered to complex culture systems (Kriegstein et al., 1995b), but shows little or no effect on highly enriched or purified neurons (Kriegstein and Unsicker, 1996b; this study). All TGF- β isoforms are known for the strong contextuality of their actions (Nathan and Sporn, 1991). Examples of contextual actions of TGF- β on neural cells include stimulation of proliferation of Schwann cells (Schubert, 1992) and inhibition of astroglial cell proliferation in the absence and presence, respectively, of FGF-2 (Flanders et al., 1993). The present study adds a new dimension to the contextual potential of TGF- β in the nervous system by identifying TGF- β as a molecule that crucially determines the neurotrophic potential of GDNF.

Dependence of GDNF on the presence of a cofactor may not be surprising in light of the reported neurotrophic potential of neurturin and persephin, two recently discovered members of the GDNF subfamily (Kotzbauer et al., 1996; Milbrandt et al., 1998). On dissociated rat sympathetic neurons, neurturin is ineffective

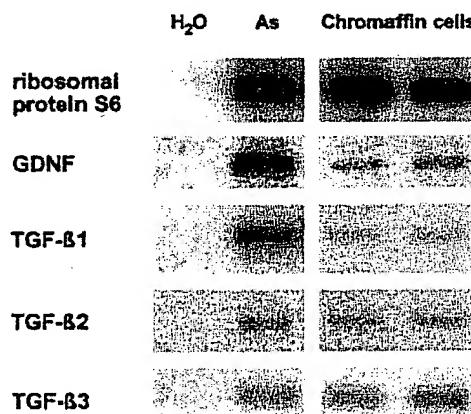


Figure 8. RT-PCR analysis of bovine chromaffin cells (lanes 3 and 4) using primers specific for ribosomal S6 (293 bp), GDNF (415 bp), TGF- β 1 (279 bp), TGF- β 2 (359 bp), and TGF- β 3 (291 bp). Bovine chromaffin cells were analyzed after 18 hr of culture with lanes 3 and 4, representing two different RNA preparations. In lane 2 RNA from cultured cortical astrocytes is amplified. Lane 1 contains a negative control with water instead of cDNA.

unless neurons are primed with NGF (Creedon et al., 1997); persephin does not support peripheral neurons at all (Milbrandt et al., 1998).

GDNF as a survival factor for peripheral and central neurons

GDNF is a distantly related member of the TGF- β superfamily classified by its structural characteristics (for review, see Lin et al., 1993; Eigenbrot and Gerber, 1997; cf. Unsicker et al., 1998). However, in contrast to TGF- β , GDNF has been shown to employ for its signaling a heteromeric tyrosine kinase receptor system that consists of a GPI-linked α -receptor (GFR α , Jing et al., 1996; Treanor et al., 1996) and the receptor tyrosine kinase Ret (Durbec et al., 1996; Trupp et al., 1996). Striking similarities in the phenotypes of mice deficient for GDNF (Moore et al., 1996; Pichel et al., 1996; Sanchez et al., 1996) or its functional receptor Ret (Schuchardt et al., 1994), respectively, suggest sig-

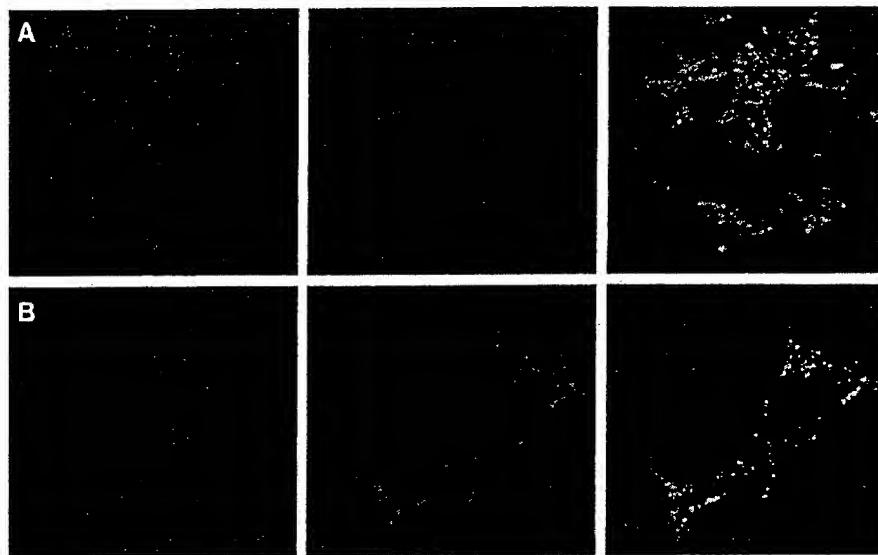


Figure 9. Immunohistochemistry showing granular localization of GDNF in rat adrenal (*A*) and cultured bovine chromaffin (*B*) cells. *A*, Confocal fluorescence microscopy of anti-chromogranin A (monoclonal, green), anti-GDNF (polyclonal, red), and its double detection (yellow) using paraffin sections of embryonic rat adrenals (E21). *B*, Fluorescence microscopy of anti-chromogranin A (polyclonal, red), anti-GDNF (monoclonal, green), and its double detection (yellow) of bovine chromaffin cells after 6 d in culture.

nificant interdependence during development. Kidney agenesis and the loss of the enteric nervous system are the prominent features of the mice lacking either GDNF or its receptor Ret. With regard to defects of the developing nervous system deficits in the number of lumbar and trigeminal, but not facial motoneurons, as well as trigeminal sensory, nodose-petrosal, dorsal root ganglionic neurons, and sympathetic neurons have been reported (Moore et al., 1996; Pichel et al., 1996). Surprisingly, the number of TH-immunoreactive neurons in the substantia nigra and the density of dopaminergic nerve terminals in the striatum seemed to be unaffected. The present study underscores the developmental requirements of several neuron populations for GDNF. With regard to dopaminergic neurons GDNF does not seem to be a major survival factor for prenatal neurons, but may be more important in postnatal maturation of the nigrostriatal system. However, our analysis also shows that dopaminergic neurons, like the peripheral neurons tested, essentially require both GDNF and its cofactor TGF- β .

Molecular bases underlying the GDNF/TGF- β cooperativity

Synergisms of TGF- β and other cytokines, most notably FGF-2, in regulating a variety of developmental processes, are well documented *in vitro*. Thus, TGF- β and FGF-2 synergistically promote early embryonic development in the fourth cell cycle (Larsson et al., 1992), induce chondrogenesis (Frenz et al., 1994) and angiogenesis (Gajdusek et al., 1993), and, most recently, have been shown to mediate myogenic signals from the neural tube (Stern et al., 1997). However, in none of the above scenarios the underlying molecular mechanisms have been reported. Our study suggests that TGF- β /GDNF cooperativity may occur at two crucial steps of signal transduction. First, an important component of GDNF/TGF- β signaling has been identified as an activation of PI-3 kinase. GDNF-induced Ret signaling has been shown to activate at least two distinct pathways, the Ras-ERK2 and a PI-3 kinase-involving pathway (van Weering and Bos, 1997). Our data suggest that the PI-3 kinase pathway, which previously has been shown to mediate lamellipodia formation and probably neuritogenesis (van Weering and Bos, 1997), is also important for mediating neuron survival. PI-3 kinase has also been implicated in

neurotrophin-mediated neuron survival (Kaplan and Miller, 1997). Second, our data point at another important aspect of GDNF/TGF- β cooperativity, the stabilization and/or recruitment of the GPI-linked GDNF α receptor GFR α (Jing et al., 1996; Treanor et al., 1996) by TGF- β after PIPLC-mediated hydrolysis of the GPI anchor. Finally, our results might suggest a role for TGF- β in recruiting GFR α onto cells, which exclusively express c-ret (Yu et al., 1998).

Biological significance of the GDNF/TGF- β cooperativity

The classic neurotrophic factor concept implies that relevant players are synthesized by the innervated target and retrogradely transported to the cell soma where they exert their functions (Levi-Montalcini, 1987; Thoenen et al., 1987). With regard to GDNF, its synthesis in the target areas of responding neuron populations (Table 1) as well as retrograde transport (Yan et al., 1995; Tomac et al., 1995b) have been well documented. TGF- β , on the other hand, represents a local factor acting in paracrine and/or autocrine manners (Sporn and Roberts, 1992; Kriegstein and Unsicker, 1996b; Table 1). TGF- β 2 and TGF- β 3 proteins are localized in close proximity of as well as in neurons within developing ganglia of the peripheral nervous system, motoneurons in the ventral spinal cord, and dopaminergic neurons in the ventral mesencephalon (Table 1). Studies aiming at revealing a retrograde axonal transport of TGF- β have failed so far (Blottner et al., 1996). Thus, a putative scenario for TGF- β and GDNF cooperativity could employ retrograde signaling by GDNF in synergy with local actions of TGF- β . In addition, both TGF- β and GDNF may be derived from identical cellular sources, e.g., cortical and hippocampal neurons (Unsicker et al., 1991; Pochon et al., 1997). The well documented colocalization of TGF- β and GDNF in these neurons was the rationale for using a model neuron, the neuroendocrine chromaffin cell, for further characterization of the release mechanism. In particular, chromaffin cells permitted us to use the endogenous stores of GDNF and TGF- β rather than overexpressing the growth factors. Consistent with the notion that growth factors are acknowledged modulators of synaptic plasticity (Korte and Bonhoeffer, 1997), our data provide evidence that GDNF and TGF- β both released from

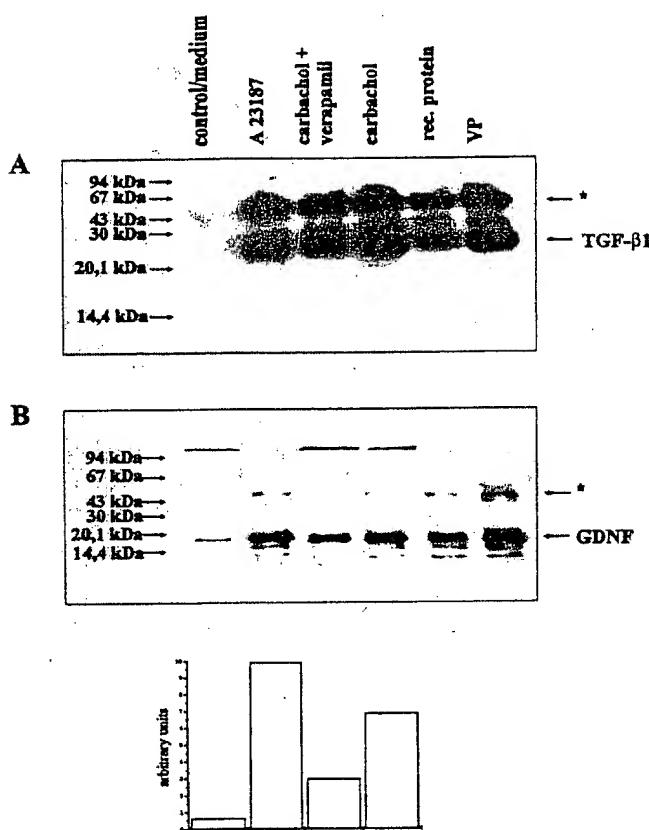


Figure 10. GDNF as well as TGF- β 1 are released from bovine chromaffin cells after cholinergic stimulation. *A*, Western blot showing immunoprecipitated TGF- β 1 from supernatants of bovine chromaffin cells after a 15 min stimulation with medium only, with a calcium ionophore A23187, carbachol plus verapamil, or carbachol alone. For comparison, rhTGF- β 1 and protein extracts from isolated bovine chromaffin granules (VP) were used. The asterisks indicate the position of the primary antibody used for immunoprecipitation. *B*, Western blot showing immunoprecipitated GDNF from the same supernatants of bovine chromaffin cells as used for *A*. For comparison, rhGDNF and VP were used. Bands immunopositive for GDNF were quantified densitometrically and given as arbitrary units.

chromaffin granules after cholinergic stimulation in a calcium-dependent manner.

In summary, we have shown that neurotrophic effects that have been attributed to GDNF result from a synergism of GDNF with TGF- β . Our findings have implications for a general understanding of mechanisms underlying regulation of neuron survival in development and disease, suggesting synergisms of growth factors as an important perspective in future studies. Use of GDNF in clinical trials may benefit from coadministration of TGF- β or increasing levels of endogenous TGF- β . Along the same line, effects of heteromeric GDNF/TGF- β complexes may also be exploited for therapeutic interventions.

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